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06-04-01

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Box PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231

RECORD OF TRANSMITTAL

INVENTORS: (1) Takashi NIWA (5) Nobuyuki KASAMA
(2) Kenji KATO (6) Susumu ICHIHARA
(3) Yasuyuki MITSUOKA (7) Hidetaka MAEDA
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TITLE: METHOD FOR FORMING APERTURE NEAR FIELD OPTICAL HEAD AND
METHOD FOR FABRICATING THE SAME AND INFORMATION
RECORDING/READING APPARATUS

DOCKET NO: S004-4309

S I R:

Kindly find enclosed herewith for filing the documents checked below. Any fees or charges not covered by the accompanying check should be charged to our Deposit Account No. 01-0268.

APPLICABLE ITEMS CHECKED:

PATENT APPLICATION (pages 1-56 of specification, 20 claims (pages) 53-56 and abstract of the disclosure, (page) 57).

DESIGN APPLICATION (specification, claim, declaration and power of attorney and photograph(s))

FORMAL DRAWINGS (20 sheets) (NI A4)

INFORMAL DRAWINGS (sheets)

RECORDATION FORM COVER SHEET and ASSIGNMENT
(to be recorded and returned to the undersigned)

1 of 2

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06/07/2000



XX PRIORITY CLAIM - applicant(s) claim the benefit of priority of the following application(s):

Japanese Patent Appln. No. 2000-173852 filed June 9, 2000
Japanese Patent Appln. No. 2000-371828 filed December 6, 2000
Japanese Patent Appln. No. 2000-379266 filed December 13, 2000
Japanese Patent Appln. No. 2001-126415 filed April 24, 2001

XX PRIORITY DOCUMENT(s)

Enclosed Not Enclosed

VERIFIED STATEMENT TO ESTABLISH SMALL ENTITY STATUS

EXPRESS MAIL CERTIFICATION

OTHER -

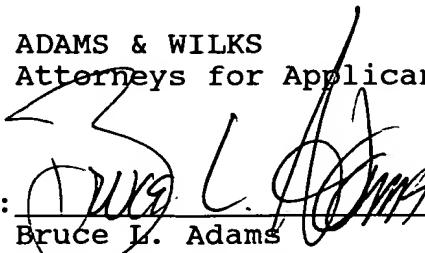
XX FEE

A check is enclosed for the following official fees:

	Large Entity	Small Entity
<input checked="" type="checkbox"/> Basic Filing Fee	\$ 710.00	\$
<input type="checkbox"/> 2 Independent Claims in Excess of 3	\$ 160.00	\$
<input type="checkbox"/> 0 Total Claims in Excess of 20	\$	\$
<input type="checkbox"/> Multiple Claim Fee	\$	\$
<input type="checkbox"/> Assignment Recordal Fee	\$	\$
TOTAL	\$ 870.00	\$

Respectfully submitted,

ADAMS & WILKS
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By: 
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Re: New patent application of Takashi NIWA et al.

for METHOD FOR FORMING APERTURE NEAR FIELD OPTICAL HEAD AND
METHOD FOR FABRICATING THE SAME AND INFORMATION
RECORDING/READING APPARATUS.

comprising transmittal letter, title, specification (pgs.1-56),
20 claims (pgs.53-56), abstract of the disclosure (pg.57), 20
sheets of formal drawings containing thereon Figs. 1-20, express
mail certification and check in the amount \$870.00

Attorney's Docket No: S004-4309

EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10

Express Mail Tracking Number: EK 825 217 138 US

Date of Deposit: June 1, 2001

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Michael Ruas